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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Confirmation No: 2946

Examiner: Gregory B. Sefcheck

In Re PATENT of:

Inventor(s): Toshihiko KOBAYASHI

Patent No.: US 6,993,015 B2

Issued: January 31, 2006

Serial No.: 09/874,363

Filed: June 6, 2001

For: APPARATUS FOR INTERCEPTING  
COMMUNICATION DATA IN A PACKET  
NETWORK

Atty. Ref.: FUJI 121

)  
)  
)  
) **REQUEST FOR A PATENT**  
) **TO BE CORRECTED TO STATE**  
) **THE NAME OF THE ASSIGNEE**

)  
)  
) **AND**

) **REQUEST FOR**  
) **CERTIFICATE OF CORRECTION**  
) **UNDER 37 C.F.R. §1.323**  
)  
)

April 18, 2006

**Mail Stop PETITIONS**

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

**Certificate**  
**APR 21 2006**  
**of Correction**

Sir:

It is hereby requested that the attached Certificate of Correction, which will correct the above-referenced United States Patent No. US 6,993,015 B2 to state the name of the assignee, be entered. The failure to include the correct assignee name on the PTOL-85B is of a clerical or typographical nature, or of minor character, does not constitute new matter or require reexamination, was inadvertent, and occurred in good faith (MPEP 1481). Copies of the Notice of Recordation of Assignment Document, reflecting the reel and frame number where the assignment is recorded and reflecting proof of the date the assignment was submitted for recordation, Recordation Form Cover Sheet and Assignment document also are enclosed.

It is further requested that the file be forwarded to **Certificate of Correction Branch**, for issuance of a Certificate of Correction, if the Request is granted.

Because this error was due to our oversight, a Credit Card Payment Form PTO-2038 in the amount of the fee under 37 CFR §1.20(a), as well as the processing fee set forth in 37 CFR § 1.17(i), also is attached.

Should the remittance be accidentally missing or insufficient, the Commissionaire is hereby authorized to charge the fees or credit any overpayment to our deposit account No. 18-0002, and notify us accordingly.

Respectfully submitted, 04/19/2006 SZWDIE1 00000100 6993015

*Robert Berdo*

100.00 OP  
130.00 OP

April 18, 2006

Date

Robert H. Berdo, Jr. – Reg. No. 38,075  
RABIN & BERDO, PC – Customer No. 23995  
1101 14<sup>th</sup> Street, NW, Suite 500  
Washington, DC 20005  
(202) 371-8976 (Tel.); 202) 408-0924 (Fax)

RHB/vm

**UNITED STATES PATENT AND TRADEMARK OFFICE  
CERTIFICATE OF CORRECTION**Page 1 of 1

PATENT NO. : 6,993,015 B2

APPLICATION NO.: 09/874,363

ISSUE DATE : January 31, 2006

INVENTOR(S) : Toshihiko KOBAYASHI

It is certified that an error appears or errors appear in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

Title Page:

After Item (76) Inventor, please add:

Item (73) Assignee: Oki Electric Industry, Co., Ltd., Tokyo (JP)

MAILING ADDRESS OF SENDER (Please do not use customer number below):

This collection of information is required by 37 CFR 1.322, 1.323, and 1.324. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.0 hour to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Attention Certificate of Corrections Branch, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

*If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.*



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**Patent and Trademark Office**  
ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

AUGUST 21, 2001

PTAS

RABIN & CHAMPAGNE, PC  
STEVEN M. RABIN  
1101 14TH STREET, NW  
SUITE 500  
WASHINGTON, DC 20005



\*101746955A\*

UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 06/06/2001

REEL/FRAME: 011878/0007  
NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

KOBAYASHI, TOSHIHIKO

DOC DATE: 03/29/2001

ASSIGNEE:

OKI ELECTRIC INDUSTRY, CO. LTD.  
7-12, TORANOMON 1-CHOME, MINATO-KU  
TOKYO, JAPAN

SERIAL NUMBER: 09874363  
PATENT NUMBER:

FILING DATE: 06/06/2001  
ISSUE DATE:

SHAREILL COLES, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

FORM PTO-1619A  
Expires 06/30/99  
OMB 0651-0027

06-13-2001

U.S. Department of Commerce  
Patent and Trademark Office  
PATENT

FUJI 121

FEE ENCLOSED \$40.00

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11000 U.S. PTO  
09/874363



TO: The Director of Patents and Trademarks: Please record the attached original document(s) or conv(s)

**Submission Type**

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Document ID#

☐ Correction of PTO Error

Reel #

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**Conveyance Type**

☒ Assignment

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☐ License

☐ Change of Name

☐ Merger

☐ Other

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☐ Departmental File ☐ Secret File

**Conveying Party(ies)**

☐ Mark if additional names of conveying parties attached

Execution Date  
Month Day Year

Name (line 1)

KOBAYASHI, Toshihiko

03292001

Name (line 2)

**Second Party**

Name (line 1)

Name (line 2)

Execution Date  
Month Day Year

**Receiving Party**

☐ Mark if additional names of conveying parties attached

Name (line 1)

Oki Electric Industry, Co. Ltd.

Name (line 2)

Address (line 1)

7-12, Toranomom 1-chome

Address (line 2)

Minato-ku

Address (line 3)

Tokyo

JAPAN

City

State/Country

Zip Code

☒ If document to be recorded is an assignment and the receiving party is not domiciled in the United States an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment)

**Domestic Representative Name and Address**

Enter for the first Receiving Party only.

Name

Steven M. Rabin

Address (line 1)

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1101 14<sup>th</sup> Street, N.W.

Address (line 3)

Suite 500

Address (line 4)

Washington

D.C.

20005

City

State/Country

Zip Code

06/13/2001 G'DM11 00000067 09074363 40.00 OP  
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Area Code and Telephone Number

202-659-1915

Name

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If this document is being filed together with a new Patent Application, enter the date the patent  
application was signed by the first named executing inventor.

Month Day Year

03292001

Patent Cooperation Treaty (PCT)

Enter PCT application number  
only if a U.S. Application Number  
has not been assigned.

PCT  
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Number of Properties

Enter the total number of properties involved. #

--

Fee Amount

Fee Amount for Properties Listed (37 C.F.R. 3.41):

\$ 40.00

Method of Payment  
Deposit Account

☒ Enclosed

☐ Deposit Account

(Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number:

# 18-0002

Authorization to charge additional fees: Yes ☒ No ☐

Statement and Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a  
true copy of the original document. Charges to deposit account are authorized, as indicated herein.

Steven M. Rabin  
Name of Person Signing

Signature

*Steven M. Rabin*

June 6, 2001  
Date

**ASSIGNMENT OF APPLICATION FOR UNITED STATES LETTERS PATENT**

**WHEREAS** Toshihiko KOBAYASHI of c/o Oki Electric Industry Co., Ltd.  
7-12, Toranomon 1-chome, Minato-ku, Tokyo, Japan

hereinafter referred to collectively as the assignor, has invented a certain improvement relating to "COMMUNICATION TERMINAL APPARATUS AND COMMUNICATION INTERCEPTING APPARATUS"

☒ the inventor(s) declaration for said application being executed concurrently with the execution of this instrument; said application to be filed in the United States Patent and Trademark Office;

☐ said application having been filed in the United States Patent and Trademark Office on, \_\_\_\_\_ authorization being hereby given to my attorneys, **Steven M. Rabin, Thomas M. Champagne, Robert H. Berdo, Jr. and David S. Lee** of RABIN & CHAMPAGNE, P.C., 1101 14th Street, Suite 500, Washington, D.C. 20005, to insert here in parentheses (Application Serial No. \_\_\_\_\_)

☐ the application serial number of said application when known;

☐ said application having been filed under the Patent Cooperation Treaty on \_\_\_\_\_, Serial No. \_\_\_\_\_, the United States of America being designated.

**AND WHEREAS** Oki Electric Industry Co., Ltd.

of 7-12, Toranomon 1-chome, Minato-ku, Tokyo, Japan

hereinafter referred to as the assignee, is desirous of acquiring the entire right, title and interest in and to said application, including any and all divisions and continuations thereof, and in and to said invention and any and all patents which may be granted therefor, including any and all renewals, reissues and prolongations thereof;

**NOW THIS WITNESSETH**, that for and in consideration of One Dollar (\$1.00), and other good and valuable consideration paid by said assignee to said assignor, the receipt of which is hereby acknowledged, said assignor hereby assigns, sells and transfers to said assignee, and said assignee's successors and assigns, the full and exclusive right, title and interest in and to said application, including any and all divisions and continuations thereof, and in and to said invention and any and all patents which may be granted therefor, including any and all renewals, reissues and prolongations thereof; said assignee, and said assignee's successors and assigns, to have, hold, exercise and enjoy the said application, including any and all divisions and continuations thereof, and the said invention and any and all patents which may be granted therefor, including any and all renewals, reissues and prolongations thereof, with all the rights, powers, privileges and advantages in anywise arising from or appertaining thereto, for and during the term or terms of any and all such patents when granted, including any and all renewals, reissues and prolongations thereof, for the use and benefit of said assignee, and said assignee's successors and assigns, in as ample and beneficial a manner as the said assignor might or could have held and enjoyed the same, if this assignment had not been made.

AND said assignor hereby agrees to perform, upon the request of said assignee, or said assignee's successors or assigns, any and all acts relating to the obtaining or to the asserting of said patents, including any and all renewals, reissues and prolongations thereof.

AND said assignor authorizes and requests the Commissioner of Patents and Trademarks to issue Letters Patent on said application, and on any and all divisions and continuations thereof, to said assignee, and said assignee's successors and assigns, in accordance herewith.

EXECUTED, March 29, 2001.

**WITNESS:**

**ASSIGNOR:**

Signature: Kumiko Kobayashi  
Kumiko KOBAYASHI

Signature: Toshihiko Kobayashi  
Name: Toshihiko KOBAYASHI

**RABIN & CHAMPAGNE, P.C., Washington, D.C.**